

PCT Applicant's Guide - Volume II - National Chapter - US

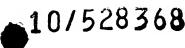
| FORM PTO-1390<br>(REV 1-98)  | K OFFICE A                                | TTORNEY'S D  | OOCKET NUMBER                      |                                 |                       |  |  |
|--|---|--|------------------------------------|---------------------------------|-----------------------|--|--|
| TRANSMITTA   |   | 818  | 28368                              |                                 |                       |  |  |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371   |   |  |                                    | S. APPLICATI<br>f known, see 37 | C.F.R. 1.5)           |  |  |
| CUNCERINI  | NG A FILIN                                | G UNDER 35 U.S.C. 3/1  | <u> </u> _                         |                                 | t Known               |  |  |
| INTERNATIONAL APPLICATION  | ON NO.                                    | INTERNATIONAL FILING DATE  | INTERNATIONAL FILING DATE PRIORITY |                                 |                       |  |  |
| PCT/JP03/1132  | 2   | September 4, 2003  |                                    | Septeml                         | ber 20, 2002          |  |  |
| TITLE OF INVENTION Proton Conducting Polymer Film and Method for Production Thereof                                      |   |  |                                    |                                 |                       |  |  |
| APPLICANT(S) FOR DO/EO/US Hidekazu KUROMATSU, Tomokazu YAMANE and Kiyoyuki NAMURA  |   |  |                                    |                                 |                       |  |  |
| Applicant herewith submits to the  | United States Des                         | signated/Elected Office (DO/EO/US) the fo  | ollowing items                     | and other infor                 | mation:               |  |  |
| 1. 🖾 This is a FIRST subm  | nission of items co                       | oncerning a filing under 35 U.S.C. 371.  |                                    |                                 |                       |  |  |
| 2. This is a SECOND or   | SUBSEQUENT                                | submission of items concerning a filing un   | nder 35 U.S.C                      | . 371.                          |                       |  |  |
| This express request to expiration of the appli  | o begin national e<br>icable time limit s | examination procedures (35 U.S.C. 371(f)) a<br>set in 35 U.S.C. 371(b) and PCT Articles 22 | at any time rat<br>2 and 39(1).    | ther than delay e               | examination until the |  |  |
| 4. A proper Demand for   | International Prel                        | liminary Examination was made by the 19th  | h month from                       | the earliest clair              | med priority date.    |  |  |
|  | • • •                                     | as filed (35 U.S.C. 371(c)(2))   |                                    |                                 |                       |  |  |
|  |   | uired only if not transmitted by the Internati   | ional Bureau).                     |                                 |                       |  |  |
|  | _   | International Bureau.<br>cation was filed in the United States Receivi                     | ing Office (Re                     | O/US).                          |                       |  |  |
| _ :  | • •                                       | ication into English (35 U.S.C. 371(c)(2)).  | IIIB Carrer (                      | J. 00).                         |                       |  |  |
|  |   | national Application under PCT Article 19 (  | (35 U.S.C. 37                      | 1(c)(3))                        |                       |  |  |
|  |   | equired only if not transmitted by the Interna   |                                    |                                 |                       |  |  |
|  | •   | e International Bureau.  |                                    |                                 |                       |  |  |
|  | een made; howeve                          | ver, the time limit for making such amendments.  Il not be made.                           | ents has NOT                       | expired.                        |                       |  |  |
|  |   | claims under PCT Article 19 (35 U.S.C. 37  | 71(c)(3)).                         |                                 |                       |  |  |
| <u> </u>   |   | s) (35 U.S.C. 371(c)(4)).  |                                    |                                 |                       |  |  |
| 10. A translation of the ar  | nnexes to the Inter                       | emational Preliminary Examination Report   | under PCT Ar                       | rticle 36 (35 U.S               | S.C. 371(c)(5)).      |  |  |
|  |   |  |                                    |                                 |                       |  |  |
| Items 11. to 16. below concern d   |   |  |                                    | . •                             |                       |  |  |
| _  |   | under 37 C.F.R. 1.97 and 1.98.   |                                    |                                 |                       |  |  |
| 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. |   |  |                                    |                                 |                       |  |  |
| 13. A FIRST preliminary a  |   | ·  |                                    |                                 |                       |  |  |
| A SECOND or SUBS   |   | tinary amendment.  |                                    |                                 |                       |  |  |
| <ul><li>14. ☐ A substitute specifica</li><li>15. ☐ A change of power of</li></ul>  |   |  |                                    |                                 |                       |  |  |
| 15. A change of power of 16. Other items or inform   |   | address letter.  | Repln. R                           |                                 | 05 PBOOKER 0016054    |  |  |
| 10. U Outer nems or me   | 18tion.                                   |  | DA#:5013<br>FC: 9204               | 14 Name/Num                     |                       |  |  |
|  |   |  |                                    |                                 |                       |  |  |
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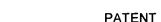
Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

## JC05 Rec'd PCT/PTO 18 MAR 2005

| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) APPLICATION NUMBER  |   |   |   |  | ATTORNEY'S<br>DOCKET NUMBER  |   |  |
|--|---|---|---|--|--|---|--|
| 10/15/28368 PCT/JP03/IJ322   |   |   |   | 81844.0034   |  |   |  |
| 17.   The following fees are submitted:  |   |   |   | CALCULATIONS PTO USE ONLY  |  |   |  |
| BASIC NATIONAL   | FEE (37 C.F.R. 1.492  | ?(a)(1)-(5)):   |   |  |  |   |  |
| Basic National Fee   |   |   | ••••••  | \$300  | and the second s |   |  |
| National Stage Sear  | ch Fee  |   | ••••••  | \$500  |  |   |  |
| National Stage Examination Fee\$200  |   |   |   |  | and the state of t |   |  |
| <u> </u>   |   |   | TOTAL FEE   | AMOUNT =   | \$ 1000.00   |   |  |
|  | for furnishing the oath                                     |   |   | □ 30   | \$ 0   |   |  |
| CLAIMS   | NUMBER FILED  | NUMBER<br>ALLOWED   | NUMBER<br>EXTRA   | RATE   |  |   |  |
| Total claims   | 48  | 20  | 28  | X \$50.00  | 1400   |   |  |
| Independent claims   | `4  | 3   | 1 .   | X \$200.00   | 200  |   |  |
| MULTIPLE DEPE  | NDENT CLAIM(S) (i   | f applicable)   |   | X \$360.00   | 360  |   |  |
| SIZE FEE <u>77</u> (TOTAL TOGETHER)  | PAGES OF SPEC AND DRA                                       | WINGS .   | \$250 for each<br>additional 50<br>sheets   | X \$250.00   | O  |   |  |
|  |   |   |   |  |  |   |  |
|  |   | TOTAL OF  | ABOVE CALC  | JLATIONS =   | 2960 .   |   |  |
| Applicant claims small entity status. See 37 C.F.R. § 1.27. The fees indicated above are reduced by 1/2.   |   |   |   |  | \$ 0   |   |  |
| SUBTOTAL =   |   |   |   |  | 2960   |   |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).                           |   |   |   |  | \$ 0   |   |  |
| TOTAL NATIONAL FEE =   |   |   |   |  | 2960   |   |  |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property + |   |   |   |  | \$ 40  |   |  |
|  |   |   | TOTAL FEES E  | NCLOSED =  | 3000   |   |  |
|  |   |   |   |  | Amt. Refunded  | 5   |  |
| \$   |   |   |   |  | Amt. charged   |   |  |
| b. Please che sheet is e c. The Compeposit A   | nclosed.<br>nmissioner is hereby au<br>Account No. 50-1314. | ount No. 50-1314 athorized to charg A duplicate copy under 37 C.F.R | in the amount of ge any additional for y of this sheet is er and 1.494 or 1.495 h | to cover<br>tees which may b<br>iclosed.   | d.  the above fees. A dup e required, or credit any a petition to revive (   | y overpayment to                                |  |
| SEND ALL CORRE  ☐ Customer Number  HOGAN & HARTS  500 South Grand Av  Los Angeles, Califor  Phone: 213-337-670  Fax: 213-337-6701  | 000026021 — ON L.L.P. enue, Suite 1900 nia 90071            | <del></del>   |   | SIGNATURE NO<br>Dariush G Add<br>REG.NO. 51,38<br>Express Mail La<br>Date: March 18, | H:501314 Hame/N<br>C: 9204<br>bel No. EV 667 739 00  | 185 PBBBRER 88160 54<br>18528368<br>\$188.88 CR |  |

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]





## Attorney Docket No: 81844.0034 JC05 Rec'd PCT/PTO 18 MAR 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Art Unit:

Not Assigned

KUROMATSU, et al.

Examiner:

Not Assigned

Serial No: Not Assigned

Filed:

March 18, 2005

For:

Proton Conducting Polymer Film and Method for

**Production Thereof** 

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL "Express Mail" Mailing Label No. EV 667 739 007 US Date of Deposit: March 18, 2005

Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

two copies of a letter of transmittal

check in amount of \$ 2960.00 as filing fee

check in amount of \$ 40.00 as assignment recordation fee Ø

patent application (67 page(s) of specification;48 claim(s); 1 page(s) of abstract  $\boxtimes$ 

冈 \_9\_ sheet(s) of formal drawings

図 executed Declaration and Power of Attorney

冈 assignment of the invention to KANEKA CORPORATION

 $\boxtimes$ **Preliminary Amendment** 

 $\boxtimes$ Information Disclosure Statement with <u>19</u> references

 $\boxtimes$ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

> Mail Stop 1 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: March 18, 2005

Hogan & Hartson, LLP

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071 Telephone: 213-337-6700 Facsimile: 213-337-6701

Christopher N. Bostrom Name of person mailing papers